Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2006-11-07
2. Agency:	015
3. Bureau:	10
4. Investment Name:	Automated Standard Application for Payments (ASAP)
5. UPI:	015-10-01-14-01-1455-00
6. What kind of investment will this be in FY2008?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2008	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
The Automated Standard Application of Payments, ASAP, is used by FPAs to make grant payments and to reimburse certain Financial Agents for financial services performed on behalf of the Government. ASAP supports the grant payment needs of FPAs, numerous Federal cash management regulations, and Treasury and FMS strategic goals. In addition, the FMS Governance Process is an integrated CPIC and enterprise architecture processes. ASAP is in the Control stage of the CPIC lifecycle and overall FMS Governance Process. The FMS investment review board (DIO Council) conducted a full scope review of ASAP on 10/24/2005 and additionally reviews the data for the Treasury Quarterly Control Data Calls. Unresolved issues are forwarded to the FMS Executive Board for review and resolution. The FMS DIO Council is scheduled to conduct another full scope review of ASAP on 7/6/2006. ASAP supports FMS' mission to provide central payment services to Federal Program Agencies (FPAs) and is linked directly with two (2) FMS Strategic Goals: Goal #1 - Provide Federal Payments Timely and Accurately, Move Toward an All-Electronic Treasury for Payments, and Determine the Optimal Payment Mechanism, and Goal #6 - Establish Policies and Processes to Facilitate the Integration of E-commerce Technologies into FMS' Business Programs and Infrastructure. Goal #1 - Provide Federal Payments Timely and Accurately, Move Toward an All-Electronic Treasury for Payments, and Determine the Optimal Payment Mechanism. The primary functionality of ASAP is to make Federal payments electronically through Automated Clearing House (ACH) for next day payments and Fedwire for same day payment mechanisms. ASAP is used by FPAs to make grant payments and to reimburse certain Financial agents who are performing financial services on behalf of the Government. The ACH and Fedwire payment capabilities enable recipients to timely and accurately receive Federal funding electronically through optimal payment mechanisms. Goal #6 - Establish Policies and Processes to Facilitate the Integration of E-commerce Technologies into FMS' Business Programs and Infrastructure. ASAP has also enabled FMS to promote and expand the use of electronic commerce technologies within the Federal financial community. ASAP requires FPA Certifying Officers to digitally sign the funding of an ASAP account using Level 3 Public Key Infrastructure (PKI).	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2006-08-09	
10. Did the Project Manager review this Exhibit?	
yes	
11. Project Manager Name:	
Poore, Victor	
Project Manager Phone:	
202-874-6923	
Project Manager Email:	
Elizabeth.Oldenburg@fms.treas.gov	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	

yes

12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
13. Does this investment support one of the PMA initiatives?	
yes	
If yes, select the initiatives that apply:	
Expanded E-Government	
13.a. Briefly describe how this asset directly supports the identified initiative(s)?	

ASAP expands use of Internet providing online payment application and electronic certification of payment data for Federal grantors and grantees. It streamlines administration of payment processes using e-business and cash mgmt functionalities. ASAP uses e-signatures/e-authentication to securely disburse funds and requires DUNS to participate in e-Grants initiatives. ASAP is a collaborative project that includes multiple agencies, governments (state, local, tribal) and driven by citizen needs.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
14.b. If yes, what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
Financial Management Service Payments	
14.c. If yes, what PART rating did it receive?	
Effective	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 3	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
yes	
19. Is this a financial management system?	
yes	
19.a. If yes, does this investment address a FFMIA compliance area?	
yes	
19.a.1. If yes, which compliance area:	
Financial Systems Requirements, Accounting Standards, and Standard General Ledger at the Transaction Level	
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.	
Automated Standard Application for Payments - ASAP	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	

Hardware	0
Software	2
Services	58

Other	40

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

yes

22. Contact information of individual responsible for privacy related questions.

Name

Myron Pinkney

Phone Number

202-874-6661

Title

ASAP Information Systems Security Officer

Email

myron.pinkney@fms.treas.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY
	-2005	2006	2007
Planning Budgetary Resources	3.042	0.359	0.000
Acquisition Budgetary Resources	13.256	5.899	4.206
Maintenance Budgetary Resources	6.085	2.691	6.088
Government FTE Cost	5.906	2.144	2.086
# of FTEs	78	24	23

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

N/A

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the

internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2006	Treasury Strategic Goal - F4 Manage Government's finances effectively. FMS Strategic Goal 1 - Provide Federal payments timely & accurately, move to all electronic Treasury for payments & determine optimal payment environment for the future.	FMS FTE salary savings achieved through automating Recipient Organization enrollment. Recipient Organization enrollment will move from an internal manual process to an external self-enrollment process using the Internet. This measure is to further support the PMA e-grants initiative and reduce the time required to request grant funding.	No Recipient Organizations enrolled using the Internet.	500 Recipient Organizations to be enrolled using the Internet by end of FY 06. FTE cost savings to be achieved is approx. $15,842.00	As of June 30, 2006, no recipient organization has enrolled via Internet. As a result, FMS FTE salary saving was zero. ASAP plans to enroll 500 recipient organizations in the remaining months of FY2006.
2	2006	Treasury Strategic Goal - F4 Manage the Government's finances effectively. FMS Strategic Goal 6 - Establish Policies and Processes to Facilitate the Integration of E-commerce Technologies into FMS' Business Programs and Infrastructure	In support of the President's Management Agenda for electronic Government, ASAP will require Certifying Officers to digitally sign account funding transactions. The goal is to have 100% of ASAP Certifying Officers provisioned with and using PKI token (i.e., smart card) to digitally sign account funding by the end of the FY 07.	No Certifying Officers use PKI for digitally signing account funding transactions.	40% or 65 Certifying Officers will be provisioned with PKI credentials by end of FY06.	As of June 30, 2006, no certifying officers have been provision to digitally sign account funding transactions in ASAP. ASAP plans to provision 65 certifying officers in the remaining months of FY2006.
3	2006	Treasury Strategic Goal - F4 Manage Government's finances effectively. FMS Strategic Goal 1 - Provide Federal payments timely & accurately,	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and on time (i.e., based on requested settlement date). All	100% of FPA approved ASAP EFT payments made accurately and on-time. (In FY05, ASAP disbursed 164,000 EFT payments totaling $424 billion)	Maintain 100% accuracy (not increase the number of payments). ASAP's goal is to convert the agencies choosing to use ASAP in an	As of June 30, 2006, ASAP has made 100% of FPA approved EFT payments (129, 460 payments totaling over $323 billion) accurately and on-time.

		move to all electronic Treasury for payments & determine optimal payment environment for the future.	goals support the Treasury sub goal 4C to make payments and collections on time and accurately optimizing use of electronic mechanisms.		efficient manner while continuing to reach 100% accuracy and timeliness.	
4	2007	Treasury Strategic Goal - F4 Manage Government's finances effectively. FMS Strategic Goal 1 - Provide Federal payments timely & accurately, move to all electronic Treasury for payments & determine optimal payment environment for the future.	FMS FTE salary savings achieved through automating Recipient Organization enrollment. Recipient Organization enrollment will move from an internal manual process to an external self-enrollment process using the Internet. This measure is to further support the PMA e-grants initiative and reduce the time required to request grant funding.	500 Recipient Organizations enrolled using the Internet by end of FY 06.	1033 Recipient Organizations to be enrolled using the Internet by end of FY 07. FTE cost savings to be achieved is approx. $35,638.00	FTE salary savings achieved from Recipient Organizations enrolled via the Internet in FY 07. Will be provided at end of FY07.
5	2007	Treasury Strategic Goal - F4 Manage the Government's finances effectively. FMS Strategic Goal 6 - Establish Policies and Processes to Facilitate the Integration of E-commerce Technologies into FMS' Business Programs and Infrastructure	In support of the President's Management Agenda for electronic Government, ASAP will require Certifying Officers to digitally sign account funding transactions. The goal is to have 100% of ASAP Certifying Officers provisioned with and using PKI token (i.e., smart card) to digitally sign account funding by the end of the fiscal year.	40% or 65 Certifying Officers use PKI for digitally signing account funding transactions.	100% or 167 Certifying Officers provisioned with PKI credentials.	Number of Certifying Officers using PKI by end of FY 06. Will be provided at end of FY07.
6	2007	Treasury Strategic Goal - F4 Manage the Government's finances effectively. FMS Strategic Goal 6 - Establish Policies and Processes to Facilitate the Integration of E-commerce Technologies into FMS' Business Programs and	Expand the E-Authentication initiative of the PMA by use PKI credential for authentication. ASAP will expand the use of PKI to include not only digitally signing transactions, but also authentication at log in.	No Certifying Officers use PKI credentials for authentication at log in.	20% or 32 Certifying Officers use PKI credentials for authentication at log in.	Number of Certifying Officers using PKI credentials for authentication at log in by end of FY 07. Will be provided at end of FY07.

		Infrastructure				
7	2007	Treasury Strategic Goal - F4 Manage Government's finances effectively. FMS Strategic Goal 1 - Provide Federal payments timely & accurately, move to all electronic Treasury for payments & determine optimal payment environment for the future.	Maintain percentage of ASAP EFT payments made accurately (i.e., as directed by Federal agency and payment recipient) and on time (i.e., based on requested settlement date). All goals support the Treasury sub goal 4C to make payments and collections on time and accurately optimizing use of electronic mechanisms.	100% of FPA approved ASAP EFT payments made accurately and on-time.	Maintain 100% accuracy (not increase the number of payments). ASAP's goal is to convert the agencies choosing to use ASAP in an efficient manner while continuing to reach 100% accuracy and timeliness.	To be provided end of FY07.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Automated Standard Application for Payments (ASAP)

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	FRIT - ASAP Payment Transfer	ASAP utilizes an IT product to exchange data with the ACH and Fedwire applications.	Data Management	Data Exchange	Data Exchange		External	0
2	FRIT - ASAP Database Management	ASAP uses an IT product to backup and restore software.	Data Management	Data Recovery	Data Recovery		External	2
3	FRIT - ASAP Data Persistence	ASAP retains and manages payment summary data and other application historical information including audit information by utilizing a relational database.	Data Management	Data Warehouse	Data Warehouse		External	2
4	FRIT - ASAP Web interface to Mainframe Database	The integration capabilities of two IT products are utilized to provide a connection from ASAP in the TWAI to the database and the Fedwire application.	Development and Integration	Data Integration	Data Integration		External	0
5	FRIT - Enterprise Application Integration	ASAP has integrated the legacy IT product for payment service functions.	Development and Integration	Legacy Integration	Legacy Integration		External	4
6	Integrated Development Environment	ASAP uses a myriad of tools in support of software development.	Development and Integration	Software Development			No Reuse	10
7	Support and Security Services	ASAP contains multiple internal controls such as identification and authentication, logical access controls, public access controls, and audit trails.	Financial Management	Internal Controls			No Reuse	2
8	FRIT - Payment/Settlement	ASAP provides a mechanism by which grant recipients can make payment requests in a secure fashion.	Financial Management	Payment / Settlement	Payment / Settlement		External	12
9	Change Management	ASAP follows the change	Management of Processes	Change Management			No Reuse	1

		management process outlined in the CM Plan.						
10	ASAP Configuration Management	ASAP utilizes an IT product for managing source code and change requests.	Management of Processes	Configuration Management			No Reuse	0
11	Governance Policy Management	ASAP follows all required IT Governance Policies promoting sound management of IT investments using an integrated, structured approach as outlined in the Office of Management and Budget (OMB) and Department of the Treasury guidelines.	Management of Processes	Governance / Policy Management			No Reuse	1
12	Program/Project Management	The ASAP project is well managed by a highly qualified (PMP certified, MBA, Six Sigma Certified, etc.) Integrated Project Team	Management of Processes	Program / Project Management			No Reuse	4
13	Quality/Test Management	The quality of work performed is measured utilizing the reports generated from an IT product tool.	Management of Processes	Quality Management			No Reuse	38
14	Risk Management	ASAP tracks risks related to the project. ASAP risk documents can be found in the ASAP project library.	Management of Processes	Risk Management			No Reuse	1
15	Online Help	ASAP provides an electronic interface to customer assistance.	Customer Initiated Assistance	Online Help			No Reuse	0
16	Online Tutorials	ASAP provides an electronic/interactive interface to educate and assist customers.	Customer Initiated Assistance	Online Tutorials			No Reuse	1
17	ASAP Enrollment	ASAP allows for users to self enroll.	Customer Initiated Assistance	Reservations / Registration			No Reuse	20
18	Issue Tracking	ASAP tracks issues related to the project. ASAP issue documents can be found in the ASAP project library.	Systems Management	Issue Tracking			No Reuse	0

19	ASAP Alerts and Notifications	ASAP provides customers with broadcast messages and online notifications when critical transactions have been processed or system anticipated and unanticipated changes occur.	Customer Preferences	Alerts and Notifications			No Reuse	1
20	Call Center Management	ASAP has multiple resources dedicated to managing a help desk call center for users.	Customer Relationship Management	Call Center Management			No Reuse	40
21	Contact and Profile Management	ASAP contains Recipient Organization and Financial Institution contact profile information	Customer Relationship Management	Contact and Profile Management			No Reuse	0
22	Customer/Account Management	ASAP contains an entire module dedicated to establishing, maintaining, and controlling Recipient Accounts	Customer Relationship Management	Customer / Account Management			No Reuse	15
23	Customer Feedback	ASAP supports customer/user meetings and ASAP Customer Board feedback via onsite, telephone, and video conferencing.	Customer Relationship Management	Customer Feedback	Customer Feedback		External	0
24	Surveys	Questionnaires on usage and desired functionality are usually conducted at customer/user meetings and ASAP Customer Board meetings via onsite, conference calls, and video conferencing.	Customer Relationship Management	Surveys	Surveys		External	0
25	ASAP Email	ASAP sends an e-mail to users as a notification of a pending transaction that requires immediate attention.	Collaboration	Email			No Reuse	5
26	Certification and Accreditation	ASAP complies with FISMA requirements for certification and accreditation.	Security Management	Certification and Accreditation			No Reuse	2
27	Voice Communication	ASAP contains tutorials with audio features for	Communication	Voice Communications			No Reuse	0

		improved instruction.					
28	Cryptography	ASAP uses encryption to enforce confidentiality of Sensitive But Unclassified financial data.	Security Management	Cryptography			No Reuse	5
29	BPD - Fiscal Service Certificate Authority	ASAP uses digital signatures to enforce non-repudiation and to trace ownership of ASAP account funding to the individual who conducted the funding transaction.	Security Management	Digital Signature Management	Digital Signature Management	015-00-02-00-01-1070-00	Internal	5

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure		
2	Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure		
3	Data Recovery	Service Platform and Infrastructure	Database / Storage		
4	Data Warehouse	Service Platform and Infrastructure	Database / Storage		
5	Data Warehouse	Service Platform and Infrastructure	Database / Storage		
6	Data Integration	Service Interface and Integration	Integration		
7	Data Integration	Service Interface and Integration	Integration		
8	Data Integration	Service Platform and Infrastructure	Hardware / Infrastructure		
9	Data Integration	Service Platform and Infrastructure	Hardware / Infrastructure		
10	Legacy Integration	Service Platform and Infrastructure	Hardware / Infrastructure		
11	Legacy Integration	Service Platform and Infrastructure	Hardware / Infrastructure		
12	Legacy Integration	Service Interface and	Integration		

			Integration		
13	Legacy Integration	Service Interface and Integration	Integration		
14	Legacy Integration	Service Platform and Infrastructure	Hardware / Infrastructure		
15	Legacy Integration	Service Interface and Integration	Integration		
16	Software Development	Service Access and Delivery	Service Transport		
17	Software Development	Service Access and Delivery	Service Transport		
18	Software Development	Service Interface and Integration	Interoperability		
19	Software Development	Service Platform and Infrastructure	Delivery Servers		
20	Software Development	Service Platform and Infrastructure	Software Engineering		
21	Software Development	Service Platform and Infrastructure	Software Engineering		
22	Software Development	Service Platform and Infrastructure	Software Engineering		
23	Software Development	Service Platform and Infrastructure	Software Engineering		
24	Software Development	Service Platform and Infrastructure	Software Engineering		
25	Software Development	Service Platform and Infrastructure	Support Platforms		
26	Internal Controls	Service Platform and Infrastructure	Delivery Servers		
27	Internal Controls	Component Framework	Business Logic		
28	Payment / Settlement	Service Platform and Infrastructure	Delivery Servers		
29	Payment / Settlement	Component Framework	Presentation / Interface		
30	Payment / Settlement	Component Framework	Presentation / Interface		
31	Payment / Settlement	Component Framework	Data Management		
32	Payment / Settlement	Component Framework	Business Logic		
33	Change Management	Service Platform and Infrastructure	Software Engineering		
34	Change Management	Service Platform and Infrastructure	Delivery Servers		
35	Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure		
36	Governance / Policy Management	Service Access and Delivery	Service Requirements		
37	Program / Project Management	Service Platform and Infrastructure	Hardware / Infrastructure		

38	Quality Management	Service Platform and Infrastructure	Software Engineering		
39	Quality Management	Service Platform and Infrastructure	Hardware / Infrastructure		
40	Risk Management	Service Platform and Infrastructure	Hardware / Infrastructure		
41	Online Help	Component Framework	Business Logic		
42	Online Tutorials	Service Platform and Infrastructure	Delivery Servers		
43	Reservations / Registration	Component Framework	Business Logic		
44	Issue Tracking	Service Platform and Infrastructure	Hardware / Infrastructure		
45	Alerts and Notifications	Component Framework	Business Logic		
46	Call Center Management	Service Platform and Infrastructure	Hardware / Infrastructure		
47	Contact and Profile Management	Component Framework	Business Logic		
48	Customer / Account Management	Component Framework	Business Logic		
49	Customer Feedback	Service Platform and Infrastructure	Hardware / Infrastructure		
50	Surveys	Service Platform and Infrastructure	Hardware / Infrastructure		
51	Email	Service Access and Delivery	Access Channels		
52	Email	Component Framework	Business Logic		
53	Email	Service Platform and Infrastructure	Hardware / Infrastructure		
54	Certification and Accreditation	Service Platform and Infrastructure	Hardware / Infrastructure		
55	Governance / Policy Management	Service Access and Delivery	Service Requirements		
56	Voice Communications	Service Platform and Infrastructure	Hardware / Infrastructure		
57	Voice Communications	Component Framework	Business Logic		
58	Cryptography	Component Framework	Security		
59	Digital Signature Management	Service Platform and Infrastructure	Hardware / Infrastructure		

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

Yes

5.a. If yes, please describe.

ASAP will leverage existing components and comply with the FMS technical reference model to the greatest possible extent. The Global Customer Directory (GCD) application, hosted by the Federal Reserve Bank of NY, is an application that maintains a repository of financial/depository institution data. ASAP will use the GCD interface to acquire updates to ACH and Fedwire status information. ASAP also has future plans to interface with the Shared Accounting Module (SAM), a Treasury component under GWA,

which is a repository of all valid Agency Location Codes (ALC), Treasury Account Symbols (TAS) and Business Event Transaction Codes (BETC). ASAP's Federal Agency Enrollment module will interface with the SAM application to retrieve information related to new agencies. ASAP also interfaces with the FRB Cash-Link to transmit respective accounting data (summary debit and credit) to the Central Accounting System. The PKI function within ASAP utilizes the subordinate Certificate Authority of the US Treasury root authority which is granted by the Bureau of the Public Debt. This function also leverages the TWAI's LDAP directory, in which the public key is stored, for authentication. In the future, ASAP will provide automated certificate and key management by interfacing with the Integrated Trust Registration Agent (ITRA) which is a web based application written by the Treasury's Secure Payment System application team. The ASAP project team will continue to seek opportunities to maximize the leveraging of existing components both within the Treasury and Federal Reserve Systems.

6. Does this investment provide the public with access to a government automated information system?	
No	
6.a. If yes, does customer access require specific software (e.g., a specific web browser version)?	
No	

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2006-09-15

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

2.b. If no, what is the strategy for managing the risks?

Budget year 2008 is the ASAP Investments first year's submission to OMB.

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)

Selected cost elements of ASAP's lifecycle costs are risk adjusted with a +/- 20% tolerance level for cost resubmission.

COST & SCHEDULE

Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

Yes

2.a. What is the Planned Value (PV)?

16.052

2.b. What is the Earned Value (EV)?

15.905

2.c. What is the actual cost of work performed (AC)?

14.795

What costs are included in the reported Cost/Schedule Performance information?

Contractor and Government

2.e. As of date:

2006-12-31

3. What is the calculated Schedule Performance Index (SPI= EV/PV)?

0.99

4. What is the schedule variance (SV = EV-PV)?

-0.147
5. What is the calculated Cost Performance Index (CPI = EV/AC)?
1.07
6. What is the cost variance (CV = EV-AC)?
1.109
7. Is the CV or SV greater than 10%?
No
7.d. What is most current Estimate at Completion?
16.299
8. Have any significant changes been made to the baseline during the past fiscal year?
no